



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Mericas**

Serial No.: **09/310,912**

Filed: **May 13, 1999**

For: **Method and System for Counting
Non-Speculative Events in a
Speculative Processor**

§ Group Art Unit: **2124**

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§ Examiner: **Chavis, John Q.**

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§ Attorney Docket No.: **AT9-99-073**

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Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

Dell Whitton
Dell Whitton

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC and Abstract; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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Group Art Unit: **2124**

Examiner: **Chavis, John Q.**

Attorney Docket No.: **AT9-99-073**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97**

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Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

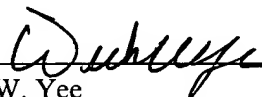
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical

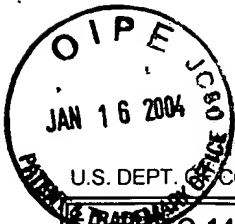
background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: 1/13/04


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|--|--|---------------------------------|
| P O-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary) | ATTORNEY DOCKET NO. AT9-99-073 | SERIAL NO. 09/310,912 |
| | APPLICANT Mericas | |
| | FILING DATE May 13, 1999 | GROUP ART UNIT 2124 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|-----------------|---------------------|---------------|--------------------|----------------|
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
|-----------------------|-----------------|---------------------|---------|--------------------|-----------------------|
| Abstract only Cape | AA 01-230131 | Sep. 13, 1999 | Japan | G06F 9/38 | X |
| Abstract only Cape | AB 2000-215081 | Aug. 4, 2000 | Japan | G06F 11/28 | X |
| Not Considered | AC 11-500551 | Jan. 12, 1999 | Japan | G06F 9/38 | X |

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

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RELATED PATENT APPLICATIONS

| EXAMINER INITIAL | APPLICATION NO./ ATTY. DOCKET NO. | APPLICANT | TITLE | FILING DATE |
|---------------------|--------------------------------------|-----------|-------|----------------|
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DATE CONSIDERED *Chavis, Jr 5-14-04* EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*** Information Materials for IDS ***

 To AT IP Law Docket Number AT 9-99-073 Date Nov/27/' 03

 Prepared by Hiroshi Murakami Date of JPO Office Action Nov/12/' 03
Applied Art (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

| Ref. | Patent Document No. or Title | Publication Date (MM/DD/YY) | English abs. or counterpart document available (Y/N) | JP claim(s) |
|------|------------------------------|--------------------------------|---|-------------|
| A | | | | |
| B | | | | |
| C | | | | |
| D | | | | |
| E | | | | |
| F | | | | |

<NOTE>

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ * : Reference being filed before and published after the priority application date of the subject docket

to be continued ☐Background Art (The following reference(s) were cited but not applied to the JP claims.)

| Ref. | Patent Document No. or Title | Ref. | Patent Document No. or Title |
|------|------------------------------|------|------------------------------|
| bgA | PUPA 2000-215081 | bgD | |
| bgB | PUPA 11-500551 | bgE | |
| bgC | PUPA 1-230131 | bgF | |

Comment, if any :

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to be continued ☐

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